

# Two-Dimensional $^3\text{He}$ : A Crucial System for Understanding Fermion Dynamics

with H. M. Böhm, M. Panholzer (Theory)<sup>1</sup>  
and H. Godfrin<sup>2</sup> and H. J. Lauter<sup>3</sup> (Experiment)

<sup>1</sup>Institute for Theoretical Physics Johannes Kepler University, A-4040 Linz, Austria

<sup>2</sup>Institut Néel, CNRS et Université Joseph Fourier, F-38042 Grenoble Cedex, France

<sup>3</sup>Institut Laue Langevin, F-38042 Grenoble Cedex, France.



MB-15, July 27-31, 2009

FWF

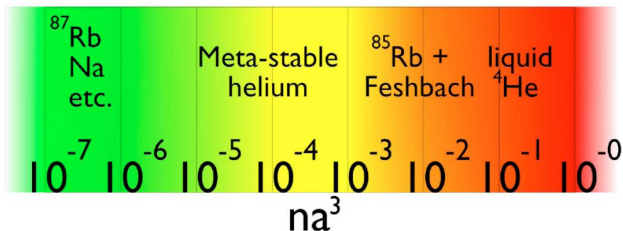
Der Wissenschaftsfonds.

- 1 Generalities - Setting the scene
  - RPA: The never ending story
  - $^3\text{He}$  in 2D – the key example
- 2 Dynamic Many-Body Theory
  - Learning from success
  - Fermionic pair fluctuations
  - Making it work
- 3 Fermi dipoles
  - Because everybody talks about it
- 4 Summary
  - What have we learned ?

# Why do helium physics ?

When nowadays cold gases are hot stuff

Jonathan DuBois and Henry Glyde, *Phys. Rev. A* **68**, 033602 (2003)

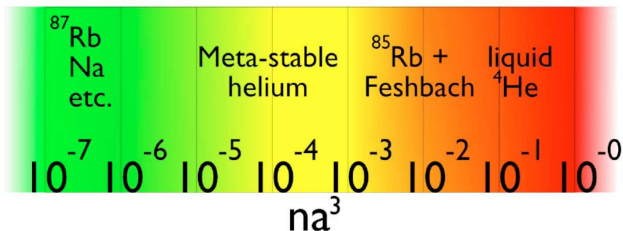


... if you can do Helium, many "cold gas" problems are easy !

# Why do helium physics ?

When nowadays cold gases are hot stuff

Jonathan DuBois and Henry Glyde, *Phys. Rev. A* **68**, 033602 (2003)



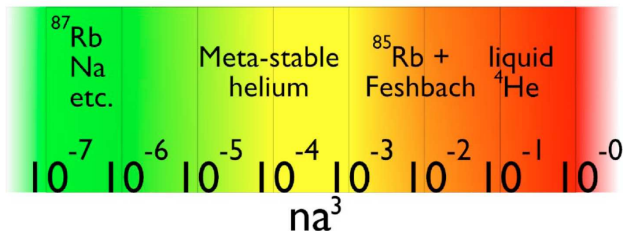
... if you can do Helium, many “cold gas” problems are easy !

- $^4\text{He}$  is very well understood (See Campbell’s poster)

# Why do helium physics ?

When nowadays cold gases are hot stuff

Jonathan DuBois and Henry Glyde, *Phys. Rev. A* **68**, 033602 (2003)



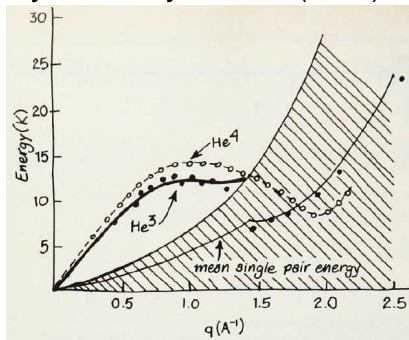
... if you can do Helium, many “cold gas” problems are easy !

- $^4\text{He}$  is very well understood (See Campbell’s poster)
- So let’s look at  $^3\text{He}$  !

# Setting the scene

How are  $^3\text{He}$  and  $^4\text{He}$  different ?

From David Pines, *Physics Today* **34**, 106 (1981):



Woods' (A.D.B. Woods, *Phys. Rev. Letters* **14**, 355 (1965).) experiment let me conclude that the phonon-maxon-rotor excitation in He II and the zero sound mode of  $^3\text{He}$  had a common physical origin in strong (and quite similar) effective interatomic interactions . . . .

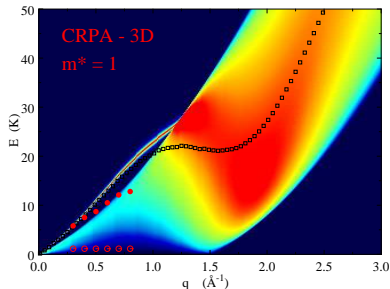
# Fermions: What we were told

Understanding the dynamics of  $^3\text{He}$  in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$



- interested (for the time being) in *density fluctuations*;

# Fermions: What we were told

Understanding the dynamics of  $^3\text{He}$  in 3D and 2D

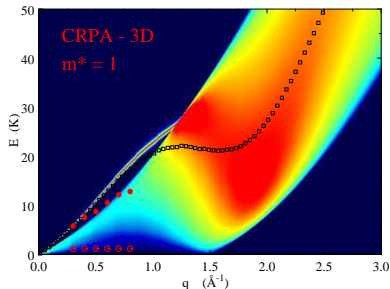
What we were told in (some) textbooks:

Dynamic structure function:

$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$



- interested (for the time being) in *density fluctuations*;

# Fermions: What we were told

Understanding the dynamics of  $^3\text{He}$  in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

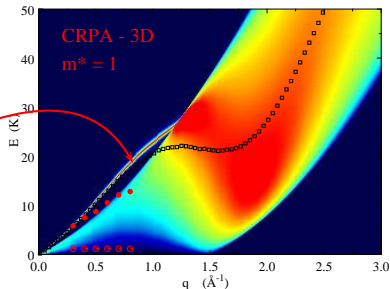
$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$

Collective mode at

$$1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega(\mathbf{q})) = 0$$



- interested (for the time being) in *density fluctuations*;

# Fermions: What we were told

Understanding the dynamics of  $^3\text{He}$  in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

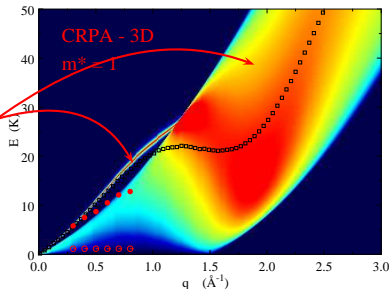
$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$

Collective mode at

$$1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega(\mathbf{q})) = 0$$

Particle-hole continuum at

$$e(\mathbf{q} - k_F) \leq \hbar\omega \leq e(\mathbf{q} + k_F)$$



- interested (for the time being) in *density fluctuations*;

# Fermions: What we were told

Understanding the dynamics of  $^3\text{He}$  in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

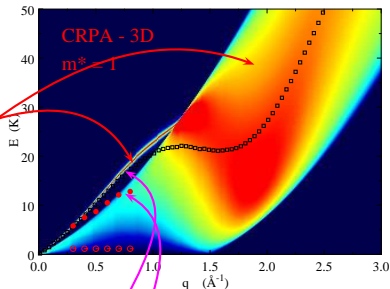
$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$

Collective mode at

$$1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega(\mathbf{q})) = 0$$

Particle-hole continuum at

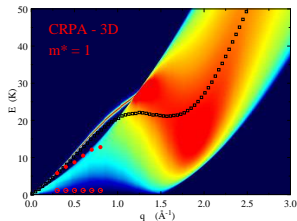
$$e(\mathbf{q} - k_F) \leq \hbar\omega \leq e(\mathbf{q} + k_F)$$



- interested (for the time being) in *density fluctuations*;
- similar effect as for bosons: *RPA is too high compared to experiments.*

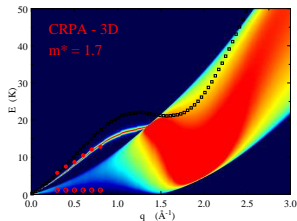
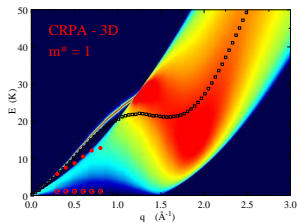
# Messing with (effective) masses: the solution (or not ?)

- Recall where we started  
... and what goes wrong:



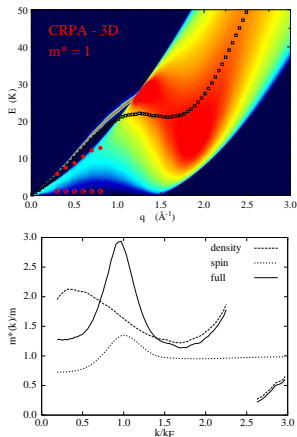
# Messing with (effective) masses: the solution (or not ?)

- Recall where we started  
... and what goes wrong:
- An effective mass can  
(potentially) explain  $S(q, \omega)$



# Messing with (effective) masses: the solution (or not ?)

- Recall where we started  
... and what goes wrong:
- An effective mass can  
(potentially) explain  $S(q, \omega)$
- **BUT** the effective mass is far  
from constant

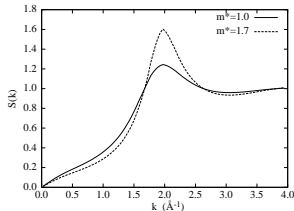
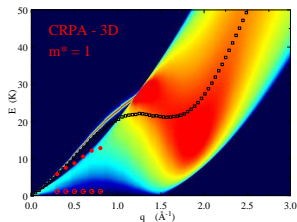


# Messing with (effective) masses: the solution (or not ?)

- Recall where we started  
... and what goes wrong:
- An effective mass can  
(potentially) explain  $S(q, \omega)$
- **BUT** the effective mass is far  
from constant
- **BUT** an effective mass in RPA  
messes up sum rules

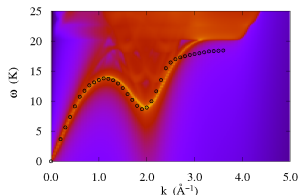
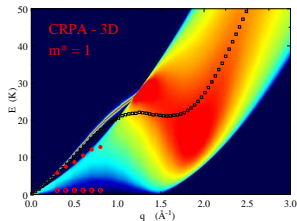
$$m_0(q) \equiv \int_0^\infty d(\hbar\omega) S(q, \omega) = S(q)$$

$$m_1(q) \equiv \int_0^\infty d(\hbar\omega) (\hbar\omega) S(q, \omega) = \frac{\hbar^2 q^2}{2m}$$



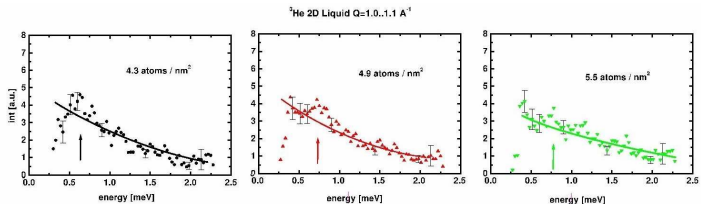
# Messing with (effective) masses: the solution (or not ?)

- Recall where we started  
... and what goes wrong:
- An effective mass can (potentially) explain  $S(q, \omega)$
- **BUT** the effective mass is far from constant
- **BUT** an effective mass in RPA messes up sum rules
- **BUT** we don't need an effective mass in  ${}^4\text{He}$ .



# $S(k, \omega)$ in two dimensional $^3\text{He}$ – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



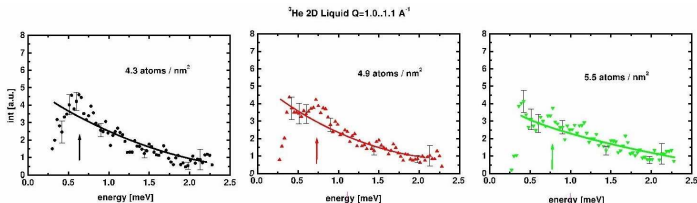




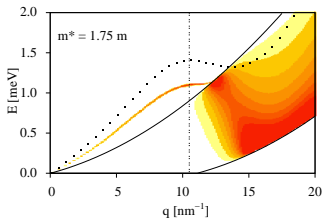


# $S(k, \omega)$ in two dimensional $^3\text{He}$ – the key experiment

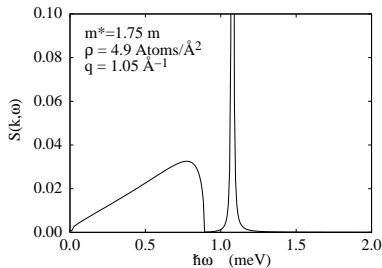
ILL/CNRS measurements: Godfrin, Lauter, Meschke



- RPA gives wrong position of the collective mode relative to the continuum;
- Messing with  $m^*$  does not help !

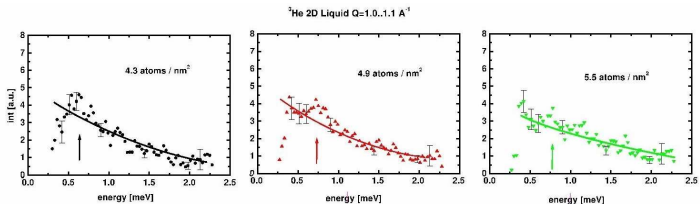


$$\frac{m^*}{m} = 1.75$$

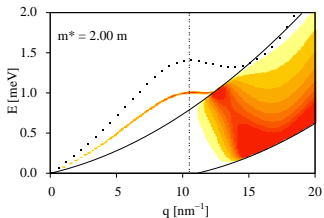


# $S(k, \omega)$ in two dimensional $^3\text{He}$ – the key experiment

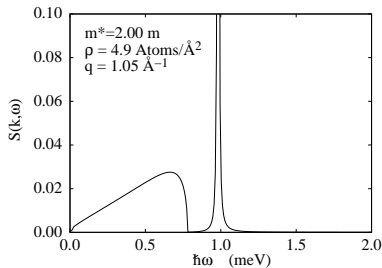
ILL/CNRS measurements: Godfrin, Lauter, Meschke



- RPA gives wrong position of the collective mode relative to the continuum;
- Messing with  $m^*$  does not help !



$$\frac{m^*}{m} = 2.00$$



# Dynamic Many-Body Theory

Pair excitations for Fermions

The success story for bosons:

Wave function for excited states:

$$|\Psi(t)\rangle = e^{-iE_0 t/\hbar} \frac{F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}{\langle \Psi_0 | e^{\frac{1}{2}\delta U^\dagger} F^\dagger F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}^{1/2},$$

$|\Psi_0\rangle$ : model ground state,  $\delta U(t)$ : excitation operator

Bosons:

$$\delta U(t) = \sum_i \delta u^{(1)}(\mathbf{r}_i; t) + \sum_{i < j} \delta u^{(2)}(\mathbf{r}_i, \mathbf{r}_j; t) + \dots$$

# Dynamic Many-Body Theory

## Pair excitations for Fermions

The success story for bosons:

Wave function for excited states:

$$|\Psi(t)\rangle = e^{-iE_0 t/\hbar} \frac{F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}{\langle \Psi_0 | e^{\frac{1}{2}\delta U^\dagger} F^\dagger F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}^{1/2},$$

$|\Psi_0\rangle$ : model ground state,  $\delta U(t)$ : excitation operator

Bosons:

$$\delta U(t) = \sum_i \delta u^{(1)}(\mathbf{r}_i; t) + \sum_{i < j} \delta u^{(2)}(\mathbf{r}_i, \mathbf{r}_j; t) + \dots$$

Fermions:

$$\delta U(t) = \sum_{\mathbf{p}, \mathbf{h}} \delta u_{\mathbf{p}, \mathbf{h}}^{(1)}(t) a_{\mathbf{p}}^\dagger a_{\mathbf{h}} + \sum_{\mathbf{p}, \mathbf{h}, \mathbf{p}', \mathbf{h}'} \delta u_{\mathbf{p}, \mathbf{h}, \mathbf{p}', \mathbf{h}'}^{(2)}(t) a_{\mathbf{p}}^\dagger a_{\mathbf{p}'}^\dagger a_{\mathbf{h}} a_{\mathbf{h}'}$$

- The physical content of  $\delta u^{(2)}$  is not describable in (dynamic or not) mean field theory !

# Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta U_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$ ,  $F = 1$ , weakly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta U_{ph}^{(1)} \\ \delta U_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

# Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta U_{\mathbf{p}\mathbf{h},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$ ,  $F = 1$ , strongly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta U_{ph}^{(1)} \\ \delta U_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set  $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V(q)$  gives ordinary RPA.

$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - V(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$

# Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta U_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$ ,  $F = 1$ , strongly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta U_{ph}^{(1)} \\ \delta U_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set  $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V(q)$  gives ordinary RPA.
- $F \neq 1$ : Replace bare interaction matrix elements by screened matrix elements: Makes theory applicable for strongly interacting systems. Omitting exchanges leads to “correlated” RPA:  
 $V(q) \Rightarrow V_{\mathbf{p}-\mathbf{h}}(q)$

$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - V_{\mathbf{p}-\mathbf{h}}(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$

# Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$ ,  $F = 1$ , strongly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta u_{ph}^{(1)} \\ \delta u_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set  $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V(q)$  gives ordinary RPA.
- $F \neq 1$ : Replace bare interaction matrix elements by screened matrix elements: Makes theory applicable for strongly interacting systems. Omitting exchanges leads to “correlated” RPA:  
 $V(q) \Rightarrow V_{p-h}(q)$
- Keep  $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t)$ : **Makes all matrix elements energy dependent**, does not change the single particle spectrum.

# Comparing Theory and Experiment

Making it work

- Assume *local* effective interactions:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V^{(A)}(\mathbf{q}, \omega) & V^{(B)}(\mathbf{q}, \omega) \\ V^{(B)}(\mathbf{q}, -\omega) & e_{ph} + \hbar\omega + V^{(A)}(\mathbf{q}, -\omega) \end{pmatrix}$$

# Comparing Theory and Experiment

Making it work

- Assume *local* effective interactions:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V^{(A)}(\mathbf{q}, \omega) & V^{(B)}(\mathbf{q}, \omega) \\ V^{(B)}(\mathbf{q}, -\omega) & e_{ph} + \hbar\omega + V^{(A)}(\mathbf{q}, -\omega) \end{pmatrix}$$

- Campbell-Feenberg-Jackson theory comes out for bosons.

- Assume *local* effective interactions:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V^{(A)}(\mathbf{q}, \omega) & V^{(B)}(\mathbf{q}, \omega) \\ V^{(B)}(\mathbf{q}, -\omega) & e_{ph} + \hbar\omega + V^{(A)}(\mathbf{q}, -\omega) \end{pmatrix}$$

- Campbell-Feenberg-Jackson theory comes out for bosons.

- $\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - V_{p-h}(\mathbf{q}, \omega)\chi_0(\mathbf{q}, \omega)}$  can be obtained only if  
 $V^{(A)}(\mathbf{q}, \omega) = V^{(A)}(\mathbf{q}, -\omega) = V^{(B)}(\mathbf{q}, \omega) = V^{(B)}(\mathbf{q}, -\omega)$ .

# Comparing Theory and Experiment

Making it work

- Assume *local* effective interactions:

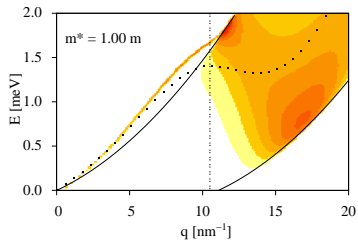
$$\begin{pmatrix} e_{ph} - \hbar\omega + V^{(A)}(\mathbf{q}, \omega) & V^{(B)}(\mathbf{q}, \omega) \\ V^{(B)}(\mathbf{q}, -\omega) & e_{ph} + \hbar\omega + V^{(A)}(\mathbf{q}, -\omega) \end{pmatrix}$$

- Campbell-Feenberg-Jackson theory comes out for bosons.
- $\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - V_{p-h}(\mathbf{q}, \omega)\chi_0(\mathbf{q}, \omega)}$  can be obtained only if  
 $V^{(A)}(\mathbf{q}, \omega) = V^{(A)}(\mathbf{q}, -\omega) = V^{(B)}(\mathbf{q}, \omega) = V^{(B)}(\mathbf{q}, -\omega)$ .
- Keeping exchange gives also self-energy corrections to the spectrum. (Can no longer be built onto Monte Carlo input.)

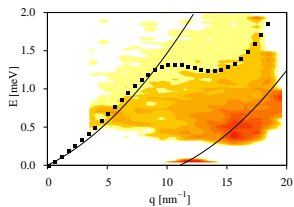
# Pair excitations for Fermions

Results for 2D  $^3\text{He}$

## Theory RPA



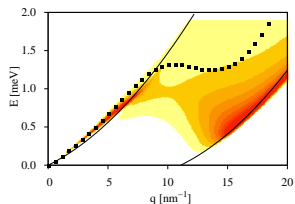
## ILL/CNRS experiment



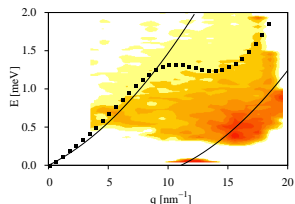
# Pair excitations for Fermions

Results for 2D  $^3\text{He}$

Theory DMBT



ILL/CNRS experiment

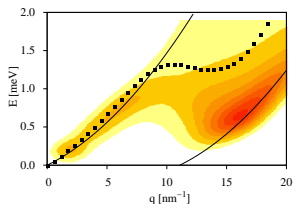


- Pair fluctuations move the zero sound mode to the right energy *without* need to shift the spectrum;

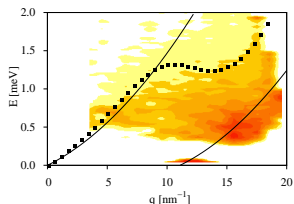
# Pair excitations for Fermions

Results for 2D  $^3\text{He}$

Theory broadened



ILL/CNRS experiment

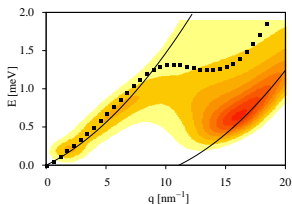


- Pair fluctuations move the zero sound mode to the right energy *without* need to shift the spectrum;
- Including experimental broadening yields excellent agreement;

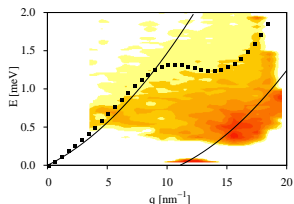
# Pair excitations for Fermions

Results for 2D  $^3\text{He}$

Theory broadened



ILL/CNRS experiment

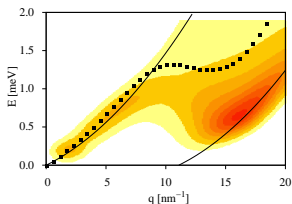


- Pair fluctuations move the zero sound mode to the right energy *without* need to shift the spectrum;
- Including experimental broadening yields excellent agreement;
- We do **not** claim that proper self-energy inclusions are unimportant;

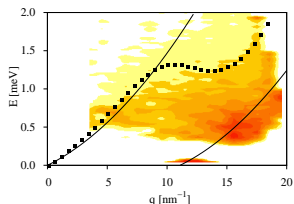
# Pair excitations for Fermions

Results for 2D  $^3\text{He}$

Theory broadened



ILL/CNRS experiment

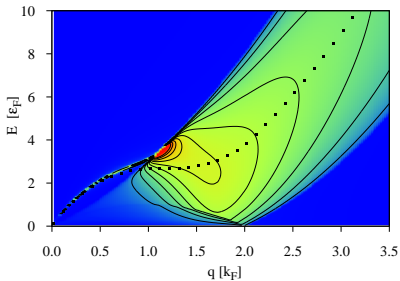


- Pair fluctuations move the zero sound mode to the right energy *without* need to shift the spectrum;
- Including experimental broadening yields excellent agreement;
- We do **not** claim that proper self-energy inclusions are unimportant;
- Further work is in progress to make the connection between  $G(0)W$  and CBF more transparent.

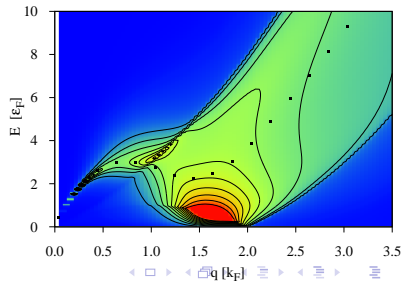
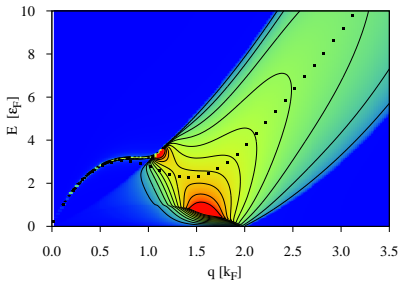
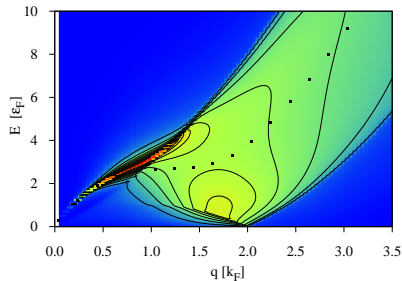
# Fermi dipoles

Because everybody talks about cold gases

RPA



CBF

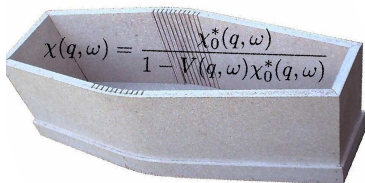


# What have we learned ?

- We identify, once again, a situation where paradigms, that are the daily bread of a nuclear theorist, provide insight into the mechanisms of condensed matter systems.

# What have we learned ?

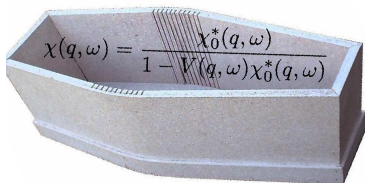
- We identify, once again, a situation where paradigms, that are the daily bread of a nuclear theorist, provide insight into the mechanisms of condensed matter systems.
- It is time to put to rest:



and related concepts like “local field corrections”

# What have we learned ?

- We identify, once again, a situation where paradigms, that are the daily bread of a nuclear theorist, provide insight into the mechanisms of condensed matter systems.
- It is time to put to rest:

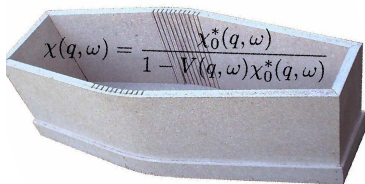


and related concepts like “local field corrections”

- Since the new view is experimentally proven for 2D  $^3\text{He}$  it is natural to review our understanding of other Fermi systems because:

# What have we learned ?

- We identify, once again, a situation where paradigms, that are the daily bread of a nuclear theorist, provide insight into the mechanisms of condensed matter systems.
- It is time to put to rest:

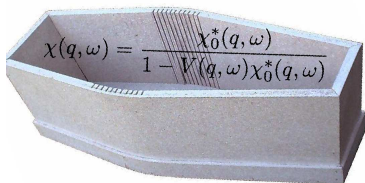


and related concepts like “local field corrections”

- Since the new view is experimentally proven for 2D  $^3\text{He}$  it is natural to review our understanding of other Fermi systems because:
- Getting the right answer does not mean doing the right physics.

# What have we learned ?

- We identify, once again, a situation where paradigms, that are the daily bread of a nuclear theorist, provide insight into the mechanisms of condensed matter systems.
- It is time to put to rest:



and related concepts like “local field corrections”

- Since the new view is experimentally proven for 2D  $^3\text{He}$  it is natural to review our understanding of other Fermi systems because:
- Getting the right answer does not mean doing the right physics.
- Yes, of course, we can do cold Fermi gases (dipole) gases. . .

# Thanks to collaborators in this project

H. M. Böhm	JKU Linz
J. Boronat	UPC Barcelona
C. E. Campbell	Univ. Minnesota
H. Godfrin	CNRS Grenoble
R. Holler	JKU Linz
H. J. Lauter	ILL Grenoble
M. Panholzer	JKU Linz
R. Zillich	JKU Linz